

**Project “Strengthening Capacity and Institutional Reform for Green Growth and Sustainable Development in Vietnam (CIGG)”**

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**To:** Ms. Akiko Fujii, Deputy Country Director, UNDP Vietnam  
Mr. Dao Xuan Lai, Head of SD Cluster  
25 Phan Boi Chau Street, Hanoi  
**Cc:** Mr. Mikko Paloneva, Program Officer

**From:** Mr. Pham Hoang Mai, Director General  
Department of Science, Education, Natural Resources and Environment  
(DSENRE), Ministry of Planning and Investment  
National Project Director of CIGG Project

**Date:** February 21<sup>st</sup>, 2017

**Re:** *CIGG - Annual Work Plan for Year 2017*


Dear Ms. Akiko Fujii,

Please find enclosed our Annual Work Plan for year 2017 that has been prepared based on the approved DPO as well as discussions about the needs to focus on high-priority activities of the implementing ministries (MPI, MOF, MOT, MoIT) in 2017.

The AWP for year 2017 with the total estimated project budget is **USD 1,250,379.-**

This is for your review and endorsement.

We are looking forward to receiving your valuable support as always.

Yours sincerely, 



Pham Hoang Mai  
Director General, DSENRE, MPI  
NPD of CIGG Project

# United Nations Development Programme

Country: Viet Nam

## 2017 Annual Work Plan

*Project Title: Strengthening Capacity and Institutional Reform for Green Growth and Sustainable Development in Viet Nam (CIGG) Project (ATLAS Award ID: 00084023-Project ID: 00092226)*

**UN Focus Area 1:**

**Inclusive, Equitable and Sustainable Growth**

**Expected OP Outcome(s):**

**Outcome 1:** Government economic policies support growth that is more equitable, inclusive and sustainable

Outcome 1.3: By 2017, key national and sub-national agencies, in partnership with the private sector and communities, have established and monitor multi-sectoral strategies, mechanisms and resources to support implementation of relevant multilateral agreements and effectively address climate change adaptation, mitigation and disaster risk management

**Expected Annual Output(s) and Results:**

Output 1.1.2: Support the design of an M&E system for the Green Growth Strategy (GGS) and Action Plan (GGAP), including reporting format and mechanism for reporting from sectors and provinces.

Output 1.2.1: Provide technical support to the Standing Office of the GGS/AP to serve the ICB, including analytical reports on progress with implementation of the GGS/AP.

Output 2.2.1: Follow-up to transportation sub-sector priorities for reducing energy consumption, through analysis of implementation options.

Output 3.1.2: Design a joint training of trainers programme on CC and GG, including an implementation action plan – with pilot training/testing in one province and adjustment of materials and programmes as needed.

Output 5.1.2: Undertake study to provide inputs to the revision and/or development of policies and laws under the National Assembly for 2015, such as the Public Investment Law, General Investment Law, Environmental Protection Law (revised), Construction Law (revised), Enterprise Law (revised).

Output 6.1.3: Build capacity and provide technical and financial support to access and manage funds from the global Green Climate Fund and establish and strengthen financial management and institutional capacities of the National Implementing Entity(ies).

Output 7.1.1: Define a methodology and typology and analyse and map private sector investment flows, characteristics, funding modalities and critical triggers for investments.

**Implementing partner:**

Ministry of Planning and Investment

**Other Partners:**

Ministry of Finance; Ministry of Transportation

**Brief Summary of the Output(s) envisaged in the AWP  
and Key Implementation Activities**

The *Strengthening Capacity and Institutional Reform for Green Growth and Sustainable Development in Viet Nam (CIGG)* project aims to build capacities and strengthen policies and regulations for the effective implementation of the Viet Nam Green Growth Strategy and achievement of sustainable development goals. The project will a) build capacities of MPI, MOF, MOT and provinces for effective implementation and monitoring of the Viet Nam Green Growth Strategy and Action Plan and for mainstreaming green growth into the SEDP, b) develop policies and regulations to promote green growth investments and sustainable development, and c) improve access to green growth financing and pilot green projects with private sector. In 2016, to follow up with the implementation of national and sector GGAP, the project will deliver the following key project outputs:

1. Government agencies implementing an M&E system for the Green Growth Strategy (GGS) and Action Plan (GGAP), the integration of GG/GHG emission reduction, climate change and sustainable development into sectoral and provincial SEDP.
2. Decision-makers equipped with knowledge and tools to change investment patterns towards green growth and sustainable development. This involves broad participation and strengthened capacity of line ministries and provinces in green growth issues, and strengthened access to sources of finance to facilitate the transition to low-carbon development.
3. Improve coordination and technical support to the Standing Office of the GGS/AP to serve the ICB, including producing analytical reports on progress with implementation of the GGS/AP.
4. Improve awareness of green growth through a training of trainers (ToT) programme on climate change and green growth, including an implementation of action plan and pilot testing.
5. More effective coordination of green growth programming through tracking of public and private expenditure and incentives for investment by these sectors.

**Key targets that the project plans to achieve in 2017:**

1. Dissemination of a MRV to track the implementation of the GGAP for use by sector ministries, provinces and other stakeholders in support of VGGGS implementation.
2. Development and release of guidelines on green growth investment, including criteria and indicators to appraise green growth investment for use by MPI during annual investment planning.
3. Inclusion of GG/GHG emission reduction, climate change and sustainable development into SEDP 2016-2020 and sector policies.
4. Delivery of communication plan with broad media coverage for GG and 2030 Agenda for SD.
5. Finalize a comprehensive review to track private sector flows and incentives to invest in green growth, as a follow-up to the CPEIR.
6. Support enhanced access to international finance for climate change and green growth, through readiness support to national partners.

<p>One Plan Period: <b>2012-2016</b>                  One Plan PCG: <b><i>Sustainable Development</i></b>                  Project Title: <b><i>Strengthening Capacity and Institutional Reform for Green Growth and Sustainable Development in Viet Nam (CIGG)</i></b>                  Project Code: <b>00092226 (Award: 00084023)</b>                  Project Period: <b>2015-2018</b></p>	<p>Estimated 2017 budget: <b>USD1,250,379</b></p> <p><b>Allocated resources:</b></p> <ul style="list-style-type: none"> <li>• Government: USD 33,400</li> <li>• Regular: USD180,400</li> <li>• <b>Others:</b> <ul style="list-style-type: none"> <li>○ USAID: USD896,929</li> <li>○ LECB: USD123,050</li> <li>○ SECO: USD50,000</li> </ul> </li> </ul> <p>Shortfall (if any) in meeting budget requirement:                  _____ USD</p>
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Agreed by Implementing Partner:

  
 Pham Hoang Mai, Director General, DSENRE, MPI

Date

 23/2/17

Agreed by UNDP:

  
 Akiko Fujii, Deputy Country Director, UNDP

Date

21/2/17



## ANNUAL WORK PLAN 2017

Project Code & Title: Award 00084023 - Project 00092226/Strengthening Capacity and Institutional Reform for Green Growth and Sustainable Development in Vietnam (CIGG)

Responsible Officer: Pham Hoang Mai - National Project Director

Implementing Partner: Ministry of Planning and Investment

EXPECTED PROJECT OUTPUTS, INDICATORS AND ANNUAL TARGETS	PLANNED ACTIVITIES (List all the activities, including M&E activities, planned in the year in order to produce the project outputs)	TIME FRAME				RESPONSIBLE PARTY	PLANNED BUDGET					Challenges, solutions and other issues	
		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Relevant Outputs from the UN's One Plan III: 1.3.1: Planning and Investment processes are climate proofed and specific programmes have been formulated and operationalized for long-term adaptation to reduce climate change vulnerabilities													
Outcome 1.3: By 2016, key national and sub-national agencies, in partnership with the private sector and communities, have established and monitor multi-sectoral strategies, mechanisms and resources to support implementation of relevant multilateral agreement and effectively address climate change adaptation, mitigation and disaster risk management													
Indicator 1: CO2 emissions (CO2e), total, per capita and per USD1 GDP (PPP) Baseline (2008/2010): 113.1 million tons CO2e/year (2010); 1.4 MT CO2e/capita (2010); 0.5732 kg/USD (PPP) (2008) Target (2016): Based on low carbon development strategy and MDG 7, at least GHG is stabilized MoV: MONRE GHG emission registry report/GSO economic data (MPI)													
PROJECT OUTPUT 1: Built technical capacities of MPI, MOF, MOT and provinces for effective implementation and monitoring of the Viet Nam GGS/AP and for mainstreaming green growth into the SEDP.													
<p>Output target 1.1 (2015-2016): Strengthened capacity at MPI for guiding the implementation and M&amp;E of the GGS and Action Plan, including enhancing coordination among national agencies and development partners.</p> <p><b>Indicators:</b> - Finalization of M&amp;E mechanism for adoption and use at provincial level. - # of policies and analytical studies and coordination meetings/discussions organized.</p> <p><b>Baseline:</b> - VGGs (2012) and GG Action Plan (2014) did not include comprehensive M&amp;E mechanism. - A standing office for an Inter-ministry Coordination Board under the NCCC will need technical support to serve as Secretariat.</p> <p><b>Targets:</b> - Developed comprehensive M&amp;E framework for GGS adopted at pilot provinces. - Organized policy analytical studies and policy discussion.</p> <p><b>MOV:</b> Inception Report, Progress Reports, Output Reports, M&amp;E Report.</p>	<p>Activity Result 1.1: A measurement, reporting and verification (MRV) mechanism for mitigation actions and GHG emission targets under the GGS; to support a M&amp;E mechanism for the GGS in place to report to the ICB under the NCCC.</p>												
	<p>1.1.1.a. Develop/finalize a M&amp;E framework for PGGAP at local level (Xây dựng/hoàn thiện khung giám sát và đánh giá (M&amp;E) cho TTX cấp địa phương)</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	20,000	2017
		X	X	X	X	MPI-DSENRE	30000	10480	00092226	75700	Workshops, training	5,000	2017
		X	X	X	X	MOIT/MPI	30000	10480	00092226	71300	Local Consultants	6,000	2016 WP
		X	X	X	X	UNDP	30000	10480	00092226	71300	Local Consultants	4,990	2017
	<p>1.1.3. Develop roadmap to implement renewable energy strategy</p>	X	X	X	X	UNDP	30000	10480	00092226	71200	International Consultants	6,000	2016 WP

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<p><b>Output target 1.2 (2014-2015):</b> Strengthened technical capacity at MPI, MOF, MOT ministries and provinces to integrate green growth into the planning, budgeting and spending processes at national sectoral and provincial levels, including development of green growth action plan for transportation sub-sector(s) and target provinces.</p> <p><b>Indicators:</b>                      - Availability of guidelines for preparation of provincial GGAP,                      - # Guidelines/decision to integrate GG into provincial SEDPs and sectoral development plans,                      - # of sectoral and provincial GG action plans developed with GHG emission targets.</p> <p><b>Baseline:</b>                      - GGS/AP were approved and have not yet integrated into national and provincial SEDPs, and Sector Development Plans;                      - National SEDP 2011-2015 does not include GG target;                      - Study on a framework on green investment and GG action plans of ministries and provinces have been prepared and developed.</p> <p><b>Targets:</b>                      - Prepared guidelines/decision to integrate GG into provincial SEDPs and sectoral development plans adopted,                      - Conducted studies for target sectors, provinces &amp; city and developed guideline and format for provincial GGAPs,                      - Inclusion of GG/GHG emission reduction, climate change, and sustainable development targets into SEDP 2016-2020,                      - Selected options of GGAP of MOT, GGAPs of pilot provinces/city selected with GHG emission targets by 2020.</p> <p><b>MOV:</b>                      - Workshop report                      - Progress reports                      - Priority options of GGAPs.</p>	<p><b>Activity result 1.2. Developed policies and guideline to integrate GG into development plan of ministries and provinces</b></p>													
	<p>1.2.a.1. Assessment of the management and disposal of hazardous wastes in Vietnam for the period 2011-2015 and recommendations on the policy, institutional and investment solutions for period 2016-2020</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	6,000	2016 WP	
	<p>1.2.a.5. Support to 2 new pilot provinces (Cao Bang, Bac Kan)</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	72100	Contractual Services - Companies	64,000	2016 WP	
	<p>1.2.a.5.b. Finalize GGAP for Cao Bang, Bac Kan</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	20,000	2017	
	<p>1.2.a.6. Preparation for integration of Green Growth into the development policy of Ho Chi Minh city</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	15,000	2016 WP	
	<p>1.2.a.7. Emission calculation and methods to reduce emissions for Ho Chi Minh city</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	72100	Contractual Services - Companies	40,000	2016 WP	
	<p>1.2.a.8. A research on potential opportunities for PPP for low carbon development (nghiên cứu tiềm năng hợp tác công tư cho phát triển carbon thấp)</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	20,000	2017	
			X	X	X	X	MPI-DSENRE	30000	10480	00092226	75700	Workshops, training	5,000	2017

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		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount		
		3	4	5	6		8	9	10	11	12		13
	1.2.a.9. An assessment on GG indicators which integrated into the socio-economic development plan for the period of 2016-2020 (Đánh giá việc triển khai các chỉ tiêu TTX đã lồng ghép vào kế hoạch phát triển KTXH 5 năm 2016-2020)	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	20,000	2017
	Activity result 1.2.b: GG action plan for transportation sub-sector, two provinces and Da Lat city formulated with GHG emission targets												
	1.2.c.1. Review to prioritize the feasible technologies to reduce CO2 emissions for inland waterway vessels	X				MOT/MPI	30000	10480	00092226	71300	Local Consultants	6,000	2016 WP
	1.2.c.2. Assessment of effective and affordable options of fuel additives to reduce CO2 emissions in marine vessels	X				MOT/MPI	30000	10480	00092226	71300	Local Consultants	6,000	2016 WP
	1.2.c.3. Research and development of multi-modal transport for inland waterways of Vietnam.	X				MOT/MPI	30000	10480	00092226	71300	Local Consultants	6,000	2016 WP
	1.2.c.4. Follow-up to GGAP for aviation sector to develop methods for measuring emissions for Aviation of Vietnam and design a market based system for Vietnam.	X	X	X	X	MOT/MPI	30000	10480	00092226	71300	Local Consultants	8,000	2016 WP
X		X	X	X	MOT/MPI	30000	10480	00092226	71200	International Consultants	8,000	2016 WP	
X		X	X	X	MOT/MPI	30000	10480	00092226	75700	Workshops, training	5,000	2016 WP	
	1.2.c.5. Support to integrate green criteria into developing EIA procedures for the investment projects for transportation.	X				MOT/MPI	30000	10480	00092226	71300	Local Consultants	4,000	2016 WP



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		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount		
		3	4	5	6		8	9	10	11	12		13
1	2	3	4	5	6	7	8	9	10	11	12	13	14
	1.2.d.1. Develop MRV system for actions to reduce emissions from the national railway and urban railway transport (Xây dựng phương pháp luận đo lường, báo cáo, kiểm chứng (MRV) cho hành động giảm phát thải từ vận tải đường sắt quốc gia và đường sắt đô thị)	X	X	X	X	MOT/MPI	30000	10480	00092226	71300	Local Consultants	35,000	2017
		X	X	X	X	MOT/MPI	30000	10480	00092226	75700	Workshops, training	5,000	2017
	1.2.d.2. Develop MRV system for actions to reduce emissions from sea/river transport and inland shipping (Xây dựng phương pháp luận đo lường, báo cáo, kiểm chứng (MRV) cho hành động giảm phát thải từ vận tải sông biển và vận tải biển nội địa)	X	X	X	X	MOT/MPI	30000	10480	00092226	71300	Local Consultants	35,000	2017
		X	X	X	X	MOT/MPI	30000	10480	00092226	75700	Workshops, training	5,000	2017
	1.2.d.3. Develop a system to provide technical solutions in the sea-ship control for fuel efficiency (Xây dựng hệ thống cung cấp các giải pháp kỹ thuật trong công tác điều khiển tàu biển nhằm sử dụng hiệu quả nhiên liệu)	X	X	X	X	MOT/MPI	30000	10480	00092226	71300	Local Consultants	15,000	2017
		X	X	X	X	MOT/MPI	30000	10480	00092226	75700	Workshops, training	5,000	2017
<b>Output target 1.3 (2015, 2016-2017):</b> Enhanced knowledge and awareness among policymakers and business of green growth and sustainable development, through joint training and communication programmes on green growth and climate change (with MONRE, MARD and MOIT through UNDP-and USAID-funded projects with these ministries);	<b>Activity Result 1.3: The Communication plan on green growth and post-2015 Millennium Development Goals prepared and implemented (2030 Agenda for Sustainable Development)</b>												
<b>Indicators:</b> - Availability of communication action plan, # of events and media coverage													
<b>Baseline:</b> - There is a need to have a comprehensive communication strategy/plan for CC, GG, and post 2015-MDGs.	1.3.1.a. Delivery of Communication Plan including Green Growth Week, sustainable consumption in transport and trade, promote green production, consumption and lifestyle models etc.	X	X	X	X	MPI-DSENRE	30000	10480	00092226	72100	Contractual Services - Companies	105,000	2016 WP (\$ 105,000 for 2017, \$45,000 for 2018)
<b>Targets:</b>													

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		3	4	5	6		8	9	10	11	12		13
- A comprehensive Communication Strategy/Plan prepared and implemented. - a set of TOT curriculum/modules on GG  <b>MOV:</b> - Inception report - Output/Progress reports	1.3.1.b. Promote the information corners of selected green growth subjects/models on newspaper	X	X	X	X	MPI-DSENRE	30000	10480	00092226	72100	Contractual Services - Companies	4,000	2016 WP
	1.3.1.c. Maintain the information corners of selected green growth subjects/models on newspaper	X	X	X	X	MPI-DSENRE	30000	10480	00092226	72100	Contractual Services - Companies	10,000	Y2017: \$ 10,000 Y2018: \$ 10,000
	1.3.2. Publish reports and documents supported by projects (at least 5-6 publications).		X	X	X	MPI-DSENRE	30000	10480	00092226	74200	Audio Visual & Print Prod Costs	15,000	2016 WP
	1.3.3. Develop set of Training Of Trainer (TOT) curriculum/modules on GG for government staff at national and provincial levels	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	8,000	2016 WP
		X	X	X	X	MPI-DSENRE	30000	10480	00092226	75700	Workshops, training	15,000	
	1.3.4. Develop the TOT modules for GG education	X	X	X	X	UNDP	04000	00012	0009226	71300	Local Consultants	17,000	2017
		X	X	X	X	UNDP	04000	00012	0009226	75700	Workshops, training	3,000	2017
	Component 1: International Technical Inputs	X	X	X	X	UNDP	30000	10480	00092226	71200	International Consultants	25,000	
	GMS USAID (8%)	X	X	X	X	UNDP	30000	10480	00092226	75100	Facilities & Adm	44,559	
	<b>TOTAL OF OUTPUT 1</b>												621,549
<b>Relevant Outputs from the UN's One Plan</b>													
<b>RESULTS:</b> Output 1.3.1: Planning and investment processes are climate proofed and specific program have been formulated and operationalized for long term adaptation to reduce climate change vulnerabilities. Output 1.3.3: A national system for reduced Emissions from Deforestation and Forest Degradation (REDD), and nationally Appropriate Mitigation Actions (NAMAs) for a number of strategically chosen sectors and localities are formulated and operationalized with clear potential benefits. Output 1.4.2: A set of coherent policies and plans are prepared or updated to strengthen (1) management of protected areas and biodiversity conservation, and (2) environment management at national and community levels													
<b>PROJECT OUTPUT 2: Developed policies and regulations to promote green growth investments and sustainable development</b>													
<b>Output target 2.1 (2015-2017):</b> Policy analysis conducted on financial, fiscal, and other barriers to green growth investments, and policy dialogue on the research findings enabled.	<b>Activity result 2.1: Studies conducted to identify shortcomings and policy gaps in green investment policies</b>												
<b>Indicators:</b>													



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		3	4	5	6		8	9	10	11	12		13
# of policy studies conducted # of high-level policy dialogue organized	2.1.1. Organize high-level policy dialogue and discussion on directions and network development for green growth (green growth coordination seminar on 12Jan, LEDES, Green Investment Event etc.)	X	X	X	X	MPI-DSENRE	30000	10480	00092226	75700	Workshops, Training	25,000	
<b>Baseline:</b> - UNDP, WB and ADB have done, or will undertake, a number of policy studies on fossil fuels, low emission options, but some can be deepened. - International CC negotiations continue to evolve, especially 2015 is expected to see new legal binding agreement - Barriers need to be removed to promote renewable energy, energy efficiency, sustainable production and consumption.	2.1.2 Support the operation of ICB (develop the national report on GG, review and assess GG models in energy and agri sectors, provinces and regions etc.)												
<b>Targets:</b> - 3 policy studies completed and published - 3 high-level policy dialogues organized		X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	4,000	2016 WP
<b>MOV:</b> - Report of meetings and high-level policy dialogues. - Regular reports on low emission development and GG progress.													
<b>Output target 2.2 (2015-2018):</b> Improved investment policies and regulations to tackle barriers to green growth, and strategies and incentives to increase engagement of the private sector in green investments, production and consumption, including renewable and clean energy.	<b>Activity Result 2.2.a: Revised/new green investment policies formulated and introduced</b>												
<b>Indicators:</b> - # of policy analysis drafted relating to green investments - # of revised/new investment policies formulated, with inclusion of incentive mechanisms for green investment from private sectors - # of policy analysis and recommendations adapted during preparation of policy on fiscal policy framework and tools	2.2.a.4. Support the readiness of NDA, NIE to GCF access	X	X	X	X	MPI-DSENRE	30000	10480	00092226	71300	Local Consultants	10,000	
	<b>Activity Result 2.2.b: Revised/new public green procurement and expenditure investment policies introduced</b>												

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		3	4	5	6		8	9	10	11	12		13
1	2					7	8	9	10	11	12	13	14
<p><b>Baseline:</b></p> <ul style="list-style-type: none"> <li>- Public Investment Law does not include provisions on green investments</li> <li>- Viet Nam has many potential CDM projects</li> <li>- Vietnam issued NDC.</li> </ul> <p><b>Targets:</b></p> <ul style="list-style-type: none"> <li>- Identified emission control solutions/policies,</li> <li>- Finalized incentive mechanisms for green investment,</li> <li>- Organized policy analysis relating to green investments and fiscal/tax policy</li> <li>- Released report on fiscal policy options.</li> </ul> <p><b>MOV:</b></p> <ul style="list-style-type: none"> <li>- Project reports and consultation with ministries and other stakeholders.</li> <li>- Training materials and reports.</li> </ul>	Activity Result 2.2.c: Policy revision and development of feasible fiscal policy framework and tools.												
	2.2.c.4. Study new financial policies and instruments and study to develop draft policy of environmental protection charges for air emission sources contributing to green growth implementation.	X	X	X	X	MOF/MPI	30000	10480	00092226	71300	Local Consultants	5,000	2016 WP
	2.2.c.4. Study new financial policies and instruments and study to develop draft policy of environmental protection charges for air emission sources contributing to green growth implementation.	X	X	X	X	MOF/MPI	30000	10480	00092226	75700	Workshops, Training	10,000	
	2.2.c.5. Propose solutions, mechanisms to promote the development, management and use of carbon credits and develop basis for revision of Decision No. 130/2007/QĐ-TTg on CDM.	X	X	X	X	MOF/MPI	30000	10480	00092226	71300	Local Consultants	10,000	2016 WP
	2.2.c.5. Propose solutions, mechanisms to promote the development, management and use of carbon credits and develop basis for revision of Decision No. 130/2007/QĐ-TTg on CDM.	X	X	X	X	UNDP	30000	10480	00092226	71200	Int'l Consultants	8,000	2016 WP
	2.2.c.6. Research on revenues as prescribed by law fees and charges for environmental protection, building detailed instructions with a list of charges.	X	X	X	X	MOF/MPI	30000	10480	00092226	71300	Local Consultants	5,000	2016 WP
	2.2.c.7. Design of the Paris mechanisms to maximize benefits from the Vietnamese CDM pipelines	X	X	X	X	UNDP	30000	10480	00092226	71200	Int'l Consultants	7,500	2017
	2.2.c.7. Design of the Paris mechanisms to maximize benefits from the Vietnamese CDM pipelines	X	X	X	X	MOF/MPI	30000	10480	00092226	71300	Local Consultants	15,000	2017
	2.2.c.8. Study on the design of a national carbon tax coupled with a project-based offset system (Nghiên cứu các chính sách thuế liên quan, đánh giá cơ chế trao đổi phát thải các-bon hiện tại và nghiên cứu đề xuất một cơ chế kết hợp thực hiện song song thuế các-bon và cơ chế bù trừ các-bon tại	X	X	X	X	MOF/MPI	30000	10480	00092226	71300	Local Consultants	20,000	2017
	2.2.c.8. Study on the design of a national carbon tax coupled with a project-based offset system (Nghiên cứu các chính sách thuế liên quan, đánh giá cơ chế trao đổi phát thải các-bon hiện tại và nghiên cứu đề xuất một cơ chế kết hợp thực hiện song song thuế các-bon và cơ chế bù trừ các-bon tại	X	X	X	X	MOF/MPI	30000	10480	00092226	75700	Workshops, Training	5,000	2017
	2.2.c.8. Study on the design of a national carbon tax coupled with a project-based offset system (Nghiên cứu các chính sách thuế liên quan, đánh giá cơ chế trao đổi phát thải các-bon hiện tại và nghiên cứu đề xuất một cơ chế kết hợp thực hiện song song thuế các-bon và cơ chế bù trừ các-bon tại	X	X	X	X	UNDP	30000	10480	00092226	71200	Int'l Consultants	20,000	2017

## ANNUAL WORK PLAN 2017

Project Code & Title: Award 00084023 - Project 00092226/Strengthening Capacity and Institutional Reform for Green Growth and Sustainable Development in Vietnam (CIGG)

Responsible Officer: Pham Hoang Mai - National Project Director

Implementing Partner: Ministry of Planning and Investment

EXPECTED PROJECT OUTPUTS, INDICATORS AND ANNUAL TARGETS	PLANNED ACTIVITIES (List all the activities, including M&E activities, planned in the year in order to produce the project outputs)	TIME FRAME				RESPONSIBLE PARTY	PLANNED BUDGET					Challenges, solutions and other issues	
		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount		
		3	4	5	6		8	9	10	11	12		13
1	2					7	8	9	10	11	12	13	14
	2.3. Support to regulatory and policy reforms to improve environmental performance of supply chain actors in industrial zones (SECO Fund)	X	X	X	X	UNDP	30000	00232	00092226	71200	Int'l Consultants	25,000	2017
		X	X	X	X	UNDP	30000	00232	00092226	71300	Local Consultants	11,296	2017
		X	X	X	X	UNDP	30000	00232	00092226	75700	Workshops, Training	10,000	2017
	Component 2: International Technical Inputs	X	X	X	X	UNDP	30000	10480	00092226	71200	Int'l Consultants	25,000	
	GMS SECO Fund (8%)	X	X	X	X	UNDP	30000	00232	00092226	75100	Facilities & Adm	3,704	
	GMS USAID (8%)	X	X	X	X	UNDP	30000	10480	00092226	75100	Facilities & Adm	13,560	
	<b>TOTAL OF OUTPUT 2</b>											233,060	

Relevant Outputs from the UN's One Plan III 1.3.1: Planning and Investment processes are climate proofed and specific programmes have been formulated and operationalized for long-term adaptation to reduce climate change vulnerabilities

**RESULTS:**

*Output 1.3.1: Planning and investment processes are climate proofed and specific program have been formulated and operationalized for long term adaptation to reduce climate change vulnerabilities.*

*Output 1.3.3: A national system for reduced Emissions from Deforestation and Forest Degradation (REDD), and nationally Appropriate Mitigation Actions (NAMAs) for a number of strategically chosen sectors and localities are formulated and operationalized with clear potential benefits.*

*Output 1.4.2: A set of coherent policies and plans are prepared or updated to strengthen (1) management of protected areas and biodiversity conservation, and (2) environment management at national and community levels.*

**PROJECT OUTPUT 3: Improved access to green growth financing and pilot green projects with private sector.**

**Output target 3.1 (2015-2016):** Improved climate finance architecture to mobilize funds for GG&CC, focusing on international public finance and enabling domestic and foreign private investments.

**Indicators:**

- Extent of understanding of at least 100 GoV officials/ stakeholders involved in climate finance and CC negotiations on requirement of international climate finance,
- Availability of advanced, transparent and effective national climate finance architecture/ facility,
- Level of availability of financial data and information to the authorized users/interested stakeholders;
- Level/amount of funding mobilized and disbursed.

**Activity Result 3.1: Studies conducted to identify shortcomings and gaps in managing various climate finance sources; development of an appropriate climate finance architecture; and participation and contribution to the GCF's operation.**

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Responsible Officer: Pham Hoang Mai - National Project Director

Implementing Partner: Ministry of Planning and Investment

EXPECTED PROJECT OUTPUTS, INDICATORS AND ANNUAL TARGETS	PLANNED ACTIVITIES (List all the activities, including M&E activities, planned in the year in order to produce the project outputs)	TIME FRAME				RESPONSIBLE PARTY	PLANNED BUDGET					Challenges, solutions and other issues	
		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
<p><b>Baseline:</b></p> <ul style="list-style-type: none"> <li>- Vietnam and some countries have relevant experience on establishment and operation of national climate finance mechanism/funds (e.g. Vietnam Env Protection Fund, Forest Development and Protection Fund-VNFF)</li> <li>- Small group of GoV officials (20) have understanding of CFCF;</li> <li>- MPI established an internal CFTF in 2013, but need to expand to other ministries.</li> <li>- MPI is assigned as the focal point of Viet Nam for the GCF.</li> <li>- MPI-Belgium Green Growth Strategy Facility established in form of ODA project with 5.5 mil. euro.</li> </ul> <p><b>Targets:</b></p> <ul style="list-style-type: none"> <li>- Improved level of understanding in international climate finance and CC negotiations,</li> <li>- Introduced an advance, transparent and effective national climate finance architecture/facility;</li> <li>- Publicized none-confidential data/information on management, decision making processes and finance available on website;</li> </ul> <p><b>MoV:</b></p> <ul style="list-style-type: none"> <li>- Project and review reports; successful access and cooperation agreements to GCF; individual and official reports by COP21 delegation members.</li> </ul>	<p>3.1.2 Delegation to COP 2017, international event in Bonn, GCF Meetings and other workshops etc.</p>	X	X	X	X	MPI-DSENRE	30000	10480	00092226	75700	Workshops, Training	40,000	To Bonn (MPI 01); To COP23 (MPI 03, MOF 01, MOT 01); and interministerial meetings with GCF HQ
<p><b>Output target 3.3 (2015-2016):</b> Private sector investment and projects contribute to achieve GG objectives.</p> <p><b>Indicators:</b></p> <ul style="list-style-type: none"> <li>- # of forum on green projects held</li> <li>- # of training and trainees</li> <li>- # of green projects and activities invested and developed by private sector.</li> </ul> <p><b>Baseline:</b></p> <ul style="list-style-type: none"> <li>- Project developers and government agencies have little knowledge of the modality available for green projects</li> <li>- Stakeholders do not have a space to share policy concerns with project developers, private sector and government</li> </ul>	<p><b>Activity Result 3.3.a:</b> Review and awareness-raising on nation commercial banking products, and other national and international financing sources to support GG projects and investments</p>												
	<p><b>Activity Result 3.3.b:</b> Private sector and investors engaged in dialogue and development of green growth projects</p>												

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Implementing Partner: Ministry of Planning and Investment

EXPECTED PROJECT OUTPUTS, INDICATORS AND ANNUAL TARGETS	PLANNED ACTIVITIES (List all the activities, including M&E activities, planned in the year in order to produce the project outputs)	TIME FRAME				RESPONSIBLE PARTY	PLANNED BUDGET					Challenges, solutions and other issues		
		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	
<p>- Capacity to develop investable project is low</p> <p><b>Targets:</b></p> <ul style="list-style-type: none"> <li>- Sectors review conducted.</li> <li>- Public forums held to review tools and finance for GG</li> <li>- Public and private investment review developed</li> </ul> <p><b>MOV:</b></p> <ul style="list-style-type: none"> <li>- Report of meetings of GG investment network.</li> <li>- Report on regional investment in CC and GG.</li> <li>- Project agreements with private investors.</li> </ul>	3.3.b.2. Finalize CPrEIR	X	X	X	X	MPI	30079	00280	00092226	72100	Contractual Services - Companies	40,000	2016 WP	
		X	X	X	X	UNDP	30079	00280	00092226	71200	Int'l Consultants	10,000	2017	
		X	X	X	X	MPI	30079	00280	00092226	75700	Workshops, Training	10,000	2017	
	3.3.b.3. CPEIR replication at provincial level (Nghiên cứu nhân rộng đánh giá đầu tư và chỉ tiêu công cho biến đổi khí hậu và TTX cấp địa phương)	X	X	X	X	MPI	30079	00280	00092226	72100	Contractual Services - Companies	50,000	2017: \$ 50,000 2018: \$40,000	
		X	X	X	X	MPI-DSENRE	30079	00280	00092226	75700	Workshops, Training	5,000	2017	
	Component 3: International Technical Inputs	X	X	X	X	UNDP	30000	10480	00092226	71200	Int'l Consultants	25,000		
	GMS LECB (7%)	X	X	X	X	UNDP	30079	00280	00092226	75100	Facilities & Adm	8,050		
	GMS USAID (8%)	X	X	X	X	UNDP	30000	10480	00092226	75100	Facilities & Adm	5,200		
	<b>TOTAL OF OUTPUT 3</b>												<b>193,250</b>	
	Relevant Outputs from the UN's One Plan III:													
OP 3 Outcome area														
<p><b>RESULTS:</b></p> <p>Output 1.3.1: Planning and Investment processes are climate proofed and specific programmes have been formulated and operationalized for long-term adaptation to reduce climate change vulnerabilities</p> <p>Output 1.3.3: A national system for reduced Emissions from Deforestation and Forest Degradation (REDD), and nationally Appropriate Mitigation Actions (NAMAs) for a number of strategically chosen sectors and localities are formulated and operationalized with clear potential benefits.</p> <p>Output 1.4.2: A set of coherent policies and plans are prepared or updated to strengthen (1) management of protected areas and biodiversity conservation, and (2) environment management at national and community levels</p>														
<b>PROJECT MANAGEMENT</b>	Payment to 01 ISTS ( Full time)	X	X	X	X	UNDP	30000	10480	00092226	71200	Int'l Consultants	25,000		
	Payment to 01 NTS ( 10 days/month)	X	X	X	X	MPI	04000	00012	00092226	71300	Local Consultants	20,400		

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Implementing Partner: Ministry of Planning and Investment

EXPECTED PROJECT OUTPUTS, INDICATORS AND ANNUAL TARGETS	PLANNED ACTIVITIES (List all the activities, including M&E activities, planned in the year in order to produce the project outputs)	TIME FRAME				RESPONSIBLE PARTY	PLANNED BUDGET					Challenges, solutions and other issues	
		Q1	Q2	Q3	Q4		Fund	Donor	Project ID	Budget Description	Amount		
		3	4	5	6		8	9	10	11	12		13
	Payment to 01 Procurement Specialist (by assignment)	X	X	X	X	MPI	30000	10480	00092226	71300	Local Consultants	5,000	
	Payment to PMU staffs (PM, PC, PA, PS, Communication cum Interpreter, Admin Assistant to MOT and MOF, Support GCF)	X	X	X	X	MPI	04000	00012	00092226	71400	Contractual Services Individual	90,000	
	Office Equipment and Furniture	X	X	X	X	MPI	30000	10480	00092226	72800	Office Equipment	5,000	
	Misc Expenses and communication cost (telephone, fax, internet)	X	X	X	X	MPI	30000	10480	00092226	74500	Miscellaneous Expenses	4,000	
	GMS USAID (8%)	X	X	X	X	UNDP	30000	10480	00092226	75100	Facilities & Adm	3,120	
	UNDP Direct Cost	X	X	X	X	UNDP	04000	00012	00092226	71400	DPC	25,000	
	UNDP Direct Support	X	X	X	X	UNDP	04000	00012	00092226	71400	PO Support	25,000	
	<b>TOTAL PROJECT MANAGEMENT</b>											<b>202,520</b>	
	<b>GRAND TOTAL</b>											<b>1,250,379</b>	

Prepared by:



Bui Hong Phuong  
Project Coordinator

Approved by:



Pham Hoang Mai  
National Implementing Partner

Summary:

Project	Donor name	Fund	Donor	Amount
00092226	TRAC	04000	00012	180,400
	USAID	30000	10480	896,929
	SECO	30000	00232	50,000
	LECB	30079	00280	123,050
<b>GRAND TOTAL:</b>				<b>1,250,379</b>